

## Major individual risk assessments and audits for partner companies

Field	Survey type		Contents	Check method	Frequency	Target (○ Target companies)		Implementation status for FY2023		
	Desktop	Onsite				Important Procurement Partners (primary procurement partners only)	All other sourcing partners	Number of companies implemented	Number of companies for which individual follow-up was implemented	Number of companies that have implemented corrective measures/plan to implement corrective
General		○	Strengthening two-way communication through cooperative activities, and exchanges between corporate leaders at cooperative general meetings	Meetings	Twice/year	○	-	110	-	-
			Promotion of improvement activities (productivity improvement, energy saving, etc.) through cooperative associations	Factory visits, activity reports, etc.	All year round	○	-	110	-	-
"General management, QCD		○	Corporate evaluation: Annual SQDC performance evaluation and evaluation of factors related to corporate management	Performance KPIs, interviews with management	Once/year	○	○	151	-	-
	○	○	Factory certification: Confirmation of quality assurance system, quality control technology, and manufacturing technology	Document review, on-site inspection, confirmation of supporting documents, etc.	Once/6 years	○	○	299	-	-
	○	○	Parts certification: Confirmation of process management status and mass production quality technology	Document review, on-site inspection, confirmation of supporting documents, etc.	Once/year	○	○	150 (536)	-	-

Compliance, ESG		○	Occupational safety and health level evaluation (including legal compliance check), confirmation of organizational structure promoting occupational safety and health activities, compliance status with labor-related laws, evaluation of activity content	On-site audits such as safety patrols, interviews with management and safety managers, etc.	Once/year	○	-	49	-	-
		○	Promotion of acquisition of environmental management system (EMS) and environmental audit (including legal compliance check), mandatory acquisition of third-party certification for environmental management, and confirmation and evaluation of compliance status and activity status with environmental-related laws	Written surveys, on-site audits, interviews with management and environmental managers, etc.	Once/year	○	-	49	-	-
		○	CSR questionnaire survey	Self-diagnosis of CSR in general using checklists	Once/year	○	-	151	-	-
		○	Human rights risk survey	Self-diagnosis specific to human rights and occupational health and safety using checklists	Once/year	○	-	129	-	-

		○	Information security self-diagnosis, self-diagnosis of cybersecurity response status	Questionnaire surveys and interviews	Once/year	○	-	151	-	-
BCP	○	○	Confirmation of damage status and continuity of supply in the event of a disaster, pandemic, etc.	Narrow down the risk of damage to business partners ⇒Confirm the damage situation by phone or e-mail, on-site investigation, and recovery support	Each time	○	○	2479	-	-